

Annual Report for Lloyd Community Development Corporation
 Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
 Status: CERTIFIED

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	No	
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	No	
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	No	N/A
4. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
5. Does the Authority have an organization chart?	No	
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.townoflloyd.com/Pages/LloydNY_Bcomm/LoanFund/Index
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.townoflloyd.com/Pages/LloydNY_Bcomm/LoanFund/Index

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

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Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	No	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	No	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	No	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.townoflloyd.com/Pages/LloydNY_Bcomm/LoanFund/Index
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.townoflloyd.com/Pages/LloydNY_Bcomm/LoanFund/Index
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.townoflloyd.com/Pages/LloydNY_Bcomm/LoanFund/Index
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.townoflloyd.com/Pages/LloydNY_Bcomm/LoanFund/Index
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	No	N/A
Time and Attendance	No	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	No	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	No	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

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Board of Directors Listing

Name	Wadlin, Vivian	Name	Maglioto, Nick
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2017	Term Start Date	01/01/2016
Term Expiration Date	12/31/2019	Term Expiration Date	12/31/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
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Board of Directors Listing

Name	Hansut, Paul	Name	Pizzuto, Fred
Chair of Board	Yes	Chair of Board	No
If yes, Chair designated By.	By Virtue of Position	If yes, Chair designated By.	
Term Start Date	01/01/2012	Term Start Date	01/01/2017
Term Expiration Date	Ex-Officio	Term Expiration Date	12/31/2019
Title	Town Supervisor	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	
Nominated By	Ex-Officio	Nominated By	Local
Appointed By	Ex-Officio	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
Status: CERTIFIED

Board of Directors Listing

Name	Stokrocki, David	Name	Rivera, Elaine
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2017	Term Start Date	01/01/2016
Term Expiration Date	12/31/2017	Term Expiration Date	12/31/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Annual Report for Lloyd Community Development Corporation

Run Date: 03/29/2018

Fiscal Year Ending:12/31/2017

Status: CERTIFIED

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Barga- ining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individua l	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensa tion/Allo wances/Ad justments	Total Compens -ation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
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This authority has indicated that it has no staff during the reporting period.

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

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Status: CERTIFIED

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member- ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transpo- rtation	Housing Allow- ance	Spousal / Dependent Life Insurance	Tuition Assist- ance	Multi- Year Employ- ment	None of These Benefits	Other
Hansut, Paul	Board of Directors												X	
Pizzuto, Fred	Board of Directors												X	
Rivera, Elaine	Board of Directors												X	
Wadlin, Vivian	Board of Directors												X	
Stokrocki, David	Board of Directors												X	
Maglioto, Nick	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member- ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transpo- rtation	Housing Allow- ance	Spousal / Dependent Life Insurance	Tuition Assist- ance	Multi- Year Employ- ment	None of These Benefits	Other
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No Data has been entered by the Authority for this section in PARIS

Annual Report for Lloyd Community Development Corporation

Fiscal Year Ending:12/31/2017

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Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct?

Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Au No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Assets

Current Assets

Cash and cash equivalents	\$363,853
Investments	\$0
Receivables, net	\$0
Other assets	\$0
Total Current Assets	\$363,853

Noncurrent Assets

Restricted cash and investments	\$0
Long-term receivables, net	\$250,150
Other assets	\$0

Capital Assets

Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0

Total Noncurrent Assets	\$250,150
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Total Assets	\$614,003
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$0
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$0

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$0

Total Liabilities	\$0
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Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	\$614,003
Total Net Assets	\$614,003

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$0
Rental & financing income	\$10,153
Other operating revenues	\$0
Total Operating Revenue	\$10,153

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$2,851
Supplies and materials	\$0
Depreciation & amortization	\$0
Other operating expenses	\$0
Total Operating Expenses	\$2,851

Operating Income (Loss) \$7,302

Nonoperating Revenues

Investment earnings	\$182
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$182

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$0
Income (Loss) Before Contributions	\$7,484
Capital Contributions	\$0
Change in net assets	\$7,484
Net assets (deficit) beginning of year	\$606,519
Other net assets changes	\$0
Net assets (deficit) at end of year	\$614,003

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
Status: CERTIFIED

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

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Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Authority Debt - General Obligation					
Authority Debt - General Obligation					
Authority Debt - Other					
Authority Debt - Other					
Authority Debt - Revenue					
Authority Debt - Revenue					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					
Other State-Funded					
Other State-Funded					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
Status: CERTIFIED

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
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Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	No	
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	No	
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	No	

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
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Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
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Loan Information

1. Source of Loan Funds: Federal
Name of Loan Recipient: Autos By Joseph
Address Line1: 354 Vineyard Ave
Address Line2:
City: HIGHLAND
State: NY
Zip - Plus4: 12528
Province/Region:
Country: USA
Original Amount of Loan: \$75,000
Date Loan Awarded: 12/20/2014
Interest Rate(%): 4
Length of Loan(# of years
to repay): 5
Amount of Loan Principal Repaid
to Date: \$43,245.28
Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

2. Source of Loan Funds: Federal
Name of Loan Recipient: Brooks & Brooks Land Surveyors PC
Address Line1: 11 Main St
Address Line2:
City: HIGHLAND
State: NY
Zip - Plus4: 12528
Province/Region:
Country: USA
Original Amount of Loan: \$75,000
Date Loan Awarded: 08/25/2014
Interest Rate(%): 2.75
Length of Loan(# of years
to repay): 4
Amount of Loan Principal Repaid
to Date: \$61,930.46
Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
Status: CERTIFIED

Loan Information

3. Source of Loan Funds: Federal
Name of Loan Recipient: Elia's Meat Market
Address Line1: 85 Vineyard Ave
Address Line2:
City: HIGHLAND
State: NY
Zip - Plus4: 12528
Province/Region:
Country: USA
Original Amount of Loan: \$100,000
Date Loan Awarded: 04/21/2014
Interest Rate(%): 2.75
Length of Loan(# of years
to repay): 10
Amount of Loan Principal Repaid
to Date: \$33,992.77
Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

4. Source of Loan Funds: Federal
Name of Loan Recipient: Fahredin Gjokaj
Address Line1: 80 Vineyard Ave
Address Line2:
City: HIGHLAND
State: NY
Zip - Plus4: 12528
Province/Region:
Country: USA
Original Amount of Loan: \$60,000
Date Loan Awarded: 08/19/2014
Interest Rate(%): 3
Length of Loan(# of years
to repay): 7
Amount of Loan Principal Repaid
to Date: \$29,152.68
Purpose of Loan: Commercial Property
Construction/Acquisition/Revitalization/I
mprovement

Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
Status: CERTIFIED

Loan Information

5. Source of Loan Funds: Federal
Name of Loan Recipient: Flatkats Realty
Address Line1: 11 Main St
Address Line2:
City: HIGHLAND
State: NY
Zip - Plus4: 12528
Province/Region:
Country: USA
Original Amount of Loan: \$150,000
Date Loan Awarded: 09/21/2011
Interest Rate(%): 2.75
Length of Loan(# of years
to repay): 10
Amount of Loan Principal Repaid
to Date: \$89,726.49
Purpose of Loan: Commercial Property
Construction/Acquisition/Revitalization/Improvement
Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

6. Source of Loan Funds: Federal
Name of Loan Recipient: HUDSON ALE WORKS, LLC
Address Line1: 17 MILTON AVE
Address Line2:
City: HIGHLAND
State: NY
Zip - Plus4: 12528
Province/Region:
Country: USA
Original Amount of Loan: \$40,000
Date Loan Awarded: 08/27/2015
Interest Rate(%): 4
Length of Loan(# of years
to repay): 7
Amount of Loan Principal Repaid
to Date: \$8,058.27
Purpose of Loan: Business Expansion/Startup
Was the Loan expected to result
in new jobs being created? Yes
If yes, how many jobs were planned
to be created? 6
If yes, how many jobs have been
created to date? 6
Have the terms of the loan been
completed? No

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
Status: CERTIFIED

Loan Information

7. Source of Loan Funds: Federal
Name of Loan Recipient: JVS Ventures
Address Line1: 188 Perkinsville Road
Address Line2:
City: HIGHLAND
State: NY
Zip - Plus4: 12528
Province/Region:
Country: USA
Original Amount of Loan: \$50,000
Date Loan Awarded: 06/28/2013
Interest Rate(%): 3
Length of Loan(# of years
to repay): 10
Amount of Loan Principal Repaid
to Date: \$50,000.00
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
in new jobs being created? Yes
If yes, how many jobs were planned
to be created? 23
If yes, how many jobs have been
created to date? 23
Have the terms of the loan been
completed? Yes

8. Source of Loan Funds: Federal
Name of Loan Recipient: Rock & Rolls Bakery
Address Line1: 34 Carroll St
Address Line2:
City: NEWBURGH
State: NY
Zip - Plus4: 12550
Province/Region:
Country: USA
Original Amount of Loan: \$24,298.9
Date Loan Awarded: 04/02/2008
Interest Rate(%): 3
Length of Loan(# of years
to repay): 5
Amount of Loan Principal Repaid
to Date: \$24,298.90
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
in new jobs being created? Yes
If yes, how many jobs were planned
to be created? 5
If yes, how many jobs have been
created to date? 0
Have the terms of the loan been
completed? Yes

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
Status: CERTIFIED

Loan Information

9. Source of Loan Funds: Federal
Name of Loan Recipient: Scheckel Gunks Roadhouse
Address Line1: 325 South Street
Address Line2:
City: HIGHLAND
State: NY
Zip - Plus4: 12528
Province/Region:
Country: USA
Original Amount of Loan: \$44,273.41
Date Loan Awarded: 05/01/2011
Interest Rate(%): 3
Length of Loan(# of years
to repay): 10
Amount of Loan Principal Repaid
to Date: \$28,018.00
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
in new jobs being created? Yes
If yes, how many jobs were planned
to be created? 6
If yes, how many jobs have been
created to date? 6
Have the terms of the loan been
completed? No

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
Status: CERTIFIED

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Annual Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018
Status: CERTIFIED

Additional Comments:

Certified Financial Audit for Lloyd Community Development Corporation

Run Date: 03/29/2018

Fiscal Year Ending:12/31/2017

Status: CERTIFIED

Financial Documents:

Question	Response	URL (if applicable)	Attachments
1. Attach the independent audit of the Authority's financial statements.	N/A	http://www.townoflloyd.com/Pages/LloydNY_Bcomm/LoanFund/Index	Attachment Included
2. Has the Authority's independent auditor issued a management letter to the Authority in connection with its audit of the Authority's financial statements?	No		
3. Has the Authority's independent auditor issued a Report on Internal Controls Over Financial Reporting to the Authority?	No		
4. Attach any other communication required or allowed by government auditing standards issued by the Comptroller General of the United States to be issued by the Authority's independent auditor in connection with its annual audit of the Authority's financial statements.			

Certified Financial Audit for Lloyd Community Development Corporation

Run Date: 03/29/2018

Fiscal Year Ending:12/31/2017

Status: CERTIFIED

Additional Comments:

Investment Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018

Status: CERTIFIED

Investment Information:

Question	Response	URL (if applicable)
1. Has the Authority prepared an Annual Investment Report for the reporting period as required by Section 2925(6) of PAL?	No	
2. Are the Authority's investment guidelines reviewed and approved annually?	No	
3. Did the Authority have an independent audit of investments as required by Section 2925(3)(f) of PAL?	No	
4. Has the Authority's independent auditor issued a management letter to the Authority in connection with its annual audit of investments?	No	



Investment Report for Lloyd Community Development Corporation
Fiscal Year Ending:12/31/2017

Run Date: 03/29/2018

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Additional Comments:

Procurement Report for Lloyd Community Development Corporation

Run Date: 03/29/2018

Fiscal Year Ending: 12/31/2017

Status: CERTIFIED

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.townoflloyd.com/Pages/LloydNY_Supervisor/index
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

Procurement Report for Lloyd Community Development Corporation

Run Date: 03/29/2018

Fiscal Year Ending: 12/31/2017

Status: CERTIFIED

Procurement Transactions Listing:

This Authority has indicated that it has no Procurement Transactions for the reporting period.

Procurement Report for Lloyd Community Development Corporation

Run Date: 03/29/2018

Fiscal Year Ending: 12/31/2017

Status: CERTIFIED

Procurement Transactions Listing:

Additional Comments: